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NOTIFICATION OF THE RECORDING OF A CHANGE  (PCT Rule 92bis.1 and Administrative Instructions, Section 422)  Date of mailing (day/month/year) 07 June 2001 (07.06.01)		RUUSKANEN, Juha-Pekka Page White & Farrer 54 Doughty Street London WC1N 2LS ROYAUME-UNI			
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## PATENT COOPERATION TREAT

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Applicant

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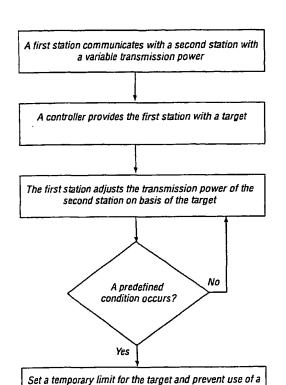
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[Continued on next page]

### (54) Title: TRANSMISSION POWER CONTROL IN A RADIO COMMUNICATION SYSTEM



target exceeding the limit until the condition is over

(57) Abstract: The present invention relates to a method in a communication system. The system comprises a controller arranged to control transmission power of stations, a first station and a second station, the controller being arranged to provide the first station with a target for use in control of the transmission power of the second station, monitoring means, and means for preventing use of a target for the transmission parameter that exceeds a limit value. The controller provides the first station with the target and the first station adjusts the transmission power of the second station on basis of the target. A predefined condition is monitored, and upon occurrence of the predefined condition, use of a target for the transmission parameter exceeding a limit value for the target for the transmission parameter is prevented.







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#### TRANSMISSION POWER CONTROL IN A RADIO COMMUNICATION SYSTEM

Field of the Invention

- The present invention relates to power control in a communication system, and in particular, but not exclusively, to power control of a station of the communication system in a power limitation situation.
- 10 Background of the Invention

In a mobile telecommunication system, such as CDMA (Code Division Multiple Access) or WCDMA (Wide-band CDMA) or TDMA (Time division Multiple Access) system, transmission power levels between a base (transceiver) station (BS) and a mobile 15 station (MS) associated with said base station can be continuously adjusted during an ongoing connection between the base station and the mobile station. This is done in order to provide a sufficient quality for the transmission in various conditions. To reduce power consumption and 20 interference it is also preferred to keep the required transmission power levels as low as possible at the same time. By means of this it is possible to avoid "wasting" any network resources and power resources, and to enable as great 25 a number of mobile stations as possible to communicate simultaneously with the base station having only limited power resources. The power resources of the base station are limited both in transmission (downlink) and receiving (uplink) directions.

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In the uplink the limitation means that a base station cannot receive and process more than a predefined number of connections from mobile stations. The uplink direction can be

limited by increased quality requirements, e.g. in a situation in which a great number of mobile stations is communicating via the base station and request for a higher transmission quality. If the power levels are increased in the cell in order to improve the quality, this increases interference in the uplink. Therefore, in addition to the incapability of the base station to receive more than a limited amount of transmission power from the mobile stations, too high transmission powers from the mobile stations may cause too high interference to the radio traffic within the cell and/or have an adverse influence to the overall performance of the base station.

One power control mechanism is based on power control (PC)

commands transmitted between two stations to cause the other station to alter or adjust or change its transmission power. The PC commands can be transmitted e.g. in a WCDMA closed loop functioning between the BS and the MS. The closed loop PC (CLPC) commands can be sent both in the uplink (towards the base station) and in the downlink (towards the mobile station), whereafter the BS or the MS will process the received command and reduce/increase its transmission power towards the receiving station accordingly.

25 The power control between the stations, such as the closed loop PC, can be controlled by another power control command generated by a controller of the communication system. For example, in the currently proposed WCDMA system it is envisaged that an outer loop power control (OLPC) command generated by a radio network controller (RNC) of the WCDMA system will attempt to set the connection quality target of a physical connection between the BS and MS to be such that a required FER (Frame Error Ratio) target or BER (Bit Error

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Ratio) target or any other similar target of the connection is met with a minimal connection quality target. The closed loop power control command is then adjusted at the base station in accordance with the outer loop power control command received from the controller. The connection quality target may sometimes be referred to as a connection setpoint.

The connection quality target or setpoint can be announced e.g. by means of so called Eb/No (Signalling Energy/Noise) target or SIR (Signal to Interference Ratio) target or desired signal level target or a similar parameter indicating a quality measure which can be estimated for the connection. The relationship is such that the connection quality target (e.g. the SIR target) has to be set such that the FER or the BER or similar parameter of the connection remains at an appropriate level. The actual connection quality value (e.g. SIR) is then controlled in accordance with the target value, and one or several of used connection parameters having influence to the quality of the connection should follow any changes in the target value. In most cases it is sufficient if the transmission power is increased/decreased in order to meet the target value. The idea behind the arrangement is that by increasing the connection quality target value the transmission power (or any other appropriate transmission parameter having an influence over the connection quality) will increase and thus the connection quality will increase and the FER will improve.

However, if the appropriate target of the connection quality cannot be met due to e.g. a power limitation situation the connection quality target will start increasing, even though this rise in the connection quality target will not help in causing a better connection between the MS and the BS. The

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power limitation condition at the BS can be caused e.g. by an overload situation or a failure. If the power limitation is only temporary the quality target will also be unnecessarily high once this condition has been removed. The temporary power limitation can occur e.g. when too many mobile stations are trying to become connected to one BS, e.g. when a bus or train with several mobile users suddenly enters the radio coverage area of the base station. The power limitation may also occur e.g. when the radio connection between the BS and one or several mobile stations weakens temporarily, for instance, the MS enters temporarily a tunnel or cellar, which will cause a rapid rise in the transmission powers. The failures causing a power limitation situation may occur in the base station, elsewhere in the communication system or in the mobile stations. The power limitation situation may result in an excessively high power levels within the cell until the quality target has returned to its normal (nominal) level. In addition, an uncontrolled power limitation situation (i.e. the powers of the mobile stations may rise freely) will lead to a situation in which the mobile stations positioned in the edge area of the cell start loose the connection i.e. the mobile stations "drop" from the cell.

25 Earlier proposals to solve the problems caused by the power limitation situation have been based on setting absolute limits on the values of the SIR targets. However, the absolute limits have to be relatively loose due to the variations in the required quality target for satisfactory quality of the communication. There has not been any efficient means for rapidly preventing an excessive increase of the target or setpoint value in an overload or other sudden power limitation situation. Instead, the target value

This leads to a decrease in the size of the cell.

has increased further as the target is increased accordingly despite the fact that no more power is available or can be received. In addition, when the power limitation situation is over, the recovery from the increased and unnecessarily high target values may take some time.

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Summary of the Invention

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The embodiments of the present invention aim to address one 10 or several of the above problems.

According to one aspect of the present invention, there is provided a method in a communication system, said system comprising a controller and a first station for communication with a second station with variable transmission power over a radio connection, wherein the controller provides the first station with a target for a transmission parameter of the radio connection and the first station adjusts the transmission power of the second station on basis of the target, comprising: monitoring for a predefined condition; upon occurrence of the predefined condition, preventing use of a target for the transmission parameter exceeding a limit value for the target for the transmission parameter.

25 According to a more specific embodiment the use of a target for the transmission parameter exceeding the limit value is prevented at the first station. The use of a target for the transmission parameter exceeding the limit value can also be prevented at the controller. The limit value may equal with 30 the target for the transmission parameter in use at the moment of detecting the predefined condition. The predefined condition may comprise a temporary power limitation situation at the first station, an overload situation at the first

station or a failure in the communication system. The monitoring of the occurrence of the predefined condition can be based on determination of the interference power of the radio connection.

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According to a further embodiment a difference between the value of the target for the transmission parameter provided by the controller and the value of the target for the transmission parameter used for power control by the first station is detected after the predefined condition is over, whereafter the difference between the said two target values is reduced. The difference can be reduced based on history information of the target used for the power control prior the detection of the condition. The difference between the said two target values can be reduced gradually.

According to another aspect of the present invention there is provided a communication system, comprising: a controller arranged to control transmission power of stations; a first station and a second station capable of providing a communication path therebetween, wherein the controller is arranged to provide the first station with a target for use in control of the transmission power of the second station; monitoring means for monitoring for a predefined condition; and means for preventing use of a target for the transmission parameter exceeding a limit value for the target for the transmission parameter upon occurrence of the predefined condition.

The communication system may comprise further detecting means 30 for detecting a difference between the target and the further target and recovery means for reducing the difference after the predefined condition is over.

According to a still another aspect of the present invention there is provided a station of a communication system, said station controlling transmission power of a further station transmitting towards the station, wherein the station is arranged to: receive a target for a transmission parameter provided by a controller of the communications system for use in the control of transmission power of the further station; monitor for a predefined condition; and upon occurrence of the predefined condition, to prevent use of targets for the transmission parameter exceeding a limit value for the target for the transmission parameter.

The embodiments of the invention provide several advantages. 15 Should a power limitation situation occur, the embodiments prevent the situation getting even worse by preventing a unnecessary rise of the connection quality target or similar parameter influencing the transmission power in the cell. The powers in the cell may be limited in a level that still can 20 be handled by the base station. The embodiments may also prevent an increase in the interference in the cell. Since the embodiments enable power resource situation within the cell to remain stable, it is possible to prevent disconnection of the ongoing connections, or to limit the 25 disconnecting procedures to the connections having a lowest priority. In addition, the embodiments provide a fast response to a power limitation situation without any excessive delays due to e.g. signalling between a base station and a network controller or several controllers. In 30 addition, the specific embodiments provide a controlled and "smooth" recovery procedure after the power limitation situation has ended.

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Brief Description of Drawings

For better understanding of the present invention, reference will now be made by way of example to the accompanying drawings in which:

Figure 1 shows schematically a part of a communication system in which the invention can be implemented;

Figure 2 is a block diagram of the base station and the controller of the communication system of Figure 1;

Figure 3 illustrates schematically an embodiment of an outer loop power control mechanism in an overload situation;

Figure 4 illustrates schematically a further embodiment of an outer loop power control mechanism in an overload situation;

Figure 5 is a table presenting an example of the operation of the present invention at the transceiver of the communication system;

Figure 6 is a table presenting an example of the operation of the present invention at the controller of the communication system;

Figure 7 is a flowchart illustrating the operation of an embodiment; and

Figure 8 is a flowchart illustrating the operation of a further embodiment.

Description of Preferred Embodiments of the Invention

Figure 1 is a block diagram illustrating a context in which the present invention may be used. That is, a WCDMA system (Wideband CDMA) mobile communication system allows a plurality of mobile stations MS1, MS2, MS3 to communicate with a base transceiver station (BS) 4 in a common cell over a radio interface via respective channels CH1, CH2, CH3. The

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base station can sometimes be referred to as node B. In the CDMA based systems these channels are distinguished from one another by the use of scrambling codes in a manner which is know per se. Communication between the mobile stations 1-3 and the base station 4 may comprise any kind of data such as speech data, video data or other data. The power control commands between the mobile stations and the base station are handled by a closed loop power control mechanism.

- The base station 4 is controlled by a controller 5 of the communication system. In the CDMA terminology this controller is often referred to as a radio network controller (RNC). The general arrangement is such that while the base station 4 controls the individual mobile stations 1 3 in its radio coverage area via the radio channels, the network controller 5 functions as a "central" controller controlling several base stations. The mobile stations 1 3 can be controlled by the controller 5 through the base station 4.
- 20 In the currently proposed WCDMA system the base station 4 receives appropriate control commands from the controller 5 via an outer loop (OL) power control (PC) mechanism. As response to the received commands the base station 4 proceeds accordingly to control the connections with individual mobile 25 stations 1 - 3 via the closed loop (CL) between the respective mobile station and the base station. According to one possibility the commands may be transmitted in the closed loop in the frequency of 1.5 kHz, and in the outer loop in the frequency of about 10 to 100 Hz. However, it is noted 30 that any other frequencies may be used here. The following description of the embodiments will concentrate in more detail on the outer loop power control (OLPC) mechanism between the base transceiver station 4 and the controller 5.

Figure 2 shows in more detail a base station 4 and a radio network controller 5 interacting with each other. The base station 4 of Figure 2 includes a base station control unit (BCU) 6, a transmission power estimation unit (TRX) 7 and a radio channel unit (CHU) 8. The functionalities provided by the respective units as well as the controller 5 are described in the following by using WCDMA terminology. It should, however, be appreciated that the following is only an example of the embodiments and thus the scope of the invention is not restricted by the use of the WCDMA terminology, and that the invention can also be applied to communication systems based on other standards.

functionality 10 controlling the outer loop power control functionality 12 of the base station (OLPC/BS). The RNC 5 is shown to include a corresponding pair of a load control functionality 11 and an outer loop power control

20 functionality (OLPC/RNC) 13. Communication paths or channels 14 and 15 are provided between the respective LC and OLPC functionalities of the BS 4 and the RNC 5. The RNC is arranged to generate an Eb/No-setpoint 16 which is subsequently transmitted to the BS in a outer loop power control command 15. In the example the command is shown to be in the form of a relative "UP" or "DOWN" command, but the OLPC command from the RNC could also include an absolute value for the targeted Eb/No-setpoint or a relative amount of

The base station 4 of Figure 2 includes a load control (LC)

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The OLPC/BS at the BS 4 receives the Eb/No-setpoint and may store the setpoint in an appropriate storage functionality. The Eb/No-setpoint which has been received from the RNC 5 is

increase or decrease of the setpoint value.

shown by a functionality 16 of the BS 4. For the purposes of clarity, the setpoint functionality of the base station 4 is designated correspondingly with the setpoint functionality 16 of the RNC 5.

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In addition to the Eb/No-setpoint 16, the BS 4 of Figure 2 is shown to comprise a second or further Eb/No-setpoint or a BS Eb/No-setpoint functionality 17. The arrangement is such that the second Eb/No-setpoint functionality 17 is used for controlling the closed loop power control and/or uplink fast load control functionality 18 instead of a direct use of the Eb/No-setpoint 16 received from the RNC. The first Eb/No-setpoint 16 of the BS 4 is always controlled by the RNC 5 and should always have the same setpoint value as the setpoint 16 at the RNC 5. The second EB/No-setpoint 17 is the setpoint actually provided to the closed loop functionality 18. The arrangement is such that in normal operation the second setpoint 17, i.e. OLPC/BS, follows the first setpoint, i.e. OLPC/RNC functionality 16.

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When the quality of the bearer between the mobile station(s) in the cell and the base station goes bad enough the outer loop PC functionality 13 in the RNC (OLPC/RNC) 5 starts to increase the Eb/No-setpoints 16 of the radio link connection(s). An increase of the Eb/No-setpoints will eventually increase the uplink transmission powers from one or several of the mobile stations in the cell correspondingly. Similarly, a decrease of the Eb/No-setpoints would decrease the transmission powers.

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According to an embodiment of the invention the Load Control (LC) algorithm 10 at the base transceiver station (BS) 4 may start preventive load control actions in order to avoid a



situation in which mobile stations have to be "dropped" out i.e. disconnected from the cell. For example, the WCDMA Load Control (LC) algorithm 10 may set limit values for the BS outer loop power control parameters or freeze the base station (OLPC/BS) so that the OLPC/BS no longer follows Eb/No-setpoint increase commands by an outer loop power control 16 from the RNC 5 (OLPC/RNC).

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The limiting or freezing procedure of the setpoint or target 10 value may be initiated at the BS e.g. when a uplink total interference power level (PrxTotal) at the BS digital receiver exceeds a given threshold value. The total received wideband interference power (PrxTotal) is measured by the base station BS on cell basis for Radio Resource Indication 15 purposes in a per se known manner. This measurement is reported periodically to the controller RNC, e.g. by using known NBAP/RADIO RESOURCE INDICATION procedure. The length of the period can be, for instance, selected from a range between 100ms to 1s. The RNC may then use the measurement 20 results for functionalities such as Admission Control (AC), Load Control (LC), and Packet Scheduler (PS) and so on.

The interference power level can be estimated by the TRX unit 7 of Figure 2. The threshold value for the interferece power is designated in the following example by PrxTargetBS. The exemplifying threshold value is defined by equation:

PrxTargetBS = PrxTarget + PrxOffset,
.wherein

PrxTarget is the planned target load of the system, and PrxOffset is the allowed marginal above PrxTarget, after which overload prevention actions are to be started.

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When the total interference value PrxTotal in Figure 2 exceeds PrxTargetBS the OLPC/BS is frozen, and the BS 4 is no longer allowed to transmit closed loop power control commands (CLPCs) towards the MS 1, even though the BS 4 may still receive OLPCs from the RNC 5. According to a more specific embodiment the outer loop PC functionality 18 in the BS (OLPC/BS) is frozen by the BS load control (BS LC) 10 after the PrxTargetBS is exceeded. In practice this means that the OLPC/BS ignores any Eb/No-setpoint increase commands of the OLPC/RNC until the PrxTotal is below the exceeded threshold.

According to a preferred embodiment present in the flow chart of Figure 7, the connection quality target value is not frozen to any precise value in a power limitation situation, but instead the target used for the connection control is prevented to exceed a certain predefined threshold value. In other words, the power control mechanism is not switched off in a power limitation situation. Instead, the connection quality target can be changed and the transmission power levels adjusted in the cell as long as the target does not exceed the temporary set upper limit.

According to one alternative only "DOWN" or "reduce target" type of commands are allowed in the closed loop while any commands aimed to increase uplink transmission powers in the cell will not become transmitted towards the mobile stations.

Figure 2 presents in more detail the use of the PrxTotal

30 measurement. As mentioned above, OLPC/BS is frozen or a
temporary upper limit is set when PrxTotal > PrxTargetBS. In
the BS the OLPC/BS can be frozen or limited on frame-bases,
i.e. the determination of the PrxTotal can be accomplished

over each frame. In this case the total wideband interference power received at the BS would be averaged over one radio frame (e.g. 10ms) in the TRX-unit 7 of the BS 4, and reported periodically (e.g. every 10ms) to the BCU unit 8 of the BS 4. PrxTotal can then be calculated on 10ms cycles e.g. by using sliding average window and an ALPHA-TRIMMED-MEAN filter or any other appropriate means for filtering.

The same applies to the OLPC/RNC, but it can be frozen or limited only after a radio resource (RR) indication message 14 is received in the RNC load control 11. The RR indication can be sent e.g. every 0.1s - 1s. The outer loop PC can then be switched on/off based on monitoring of the received PrxTotal.

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It is to be appreciated that the RNC 5 may alternatively receive some other type indication from the BS 4 instructing the RNC 5 to switch the OLPC/RNC on/off than the PrxTotal indication. It is also noted that the total interference value is only an example of the possible triggering parameter, and other indications of a power limitation situation can also be used for triggering the limitation or freezing procedure of the connection quality setpoint at the base station and/or the controller.

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As explained, the outer loop PC of the RNC (OLPC/RNC) can be limited or frozen after the overload situation is indicated to the RNC even though this is not always necessary. For instance, the arrangement can be such that the OLPC/RNC does not carry out any Eb/No-setpoint increases, but only replaces "change" type indications with a "no change" type indication. It is also possible to arrange the OLPC/RNC such that only Eb/No-setpoint decreases are allowed. The RNC arrangement may

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also be such that a temporary upper limit is set for the Eb/No-setpoint allowing a normal operation of the OLPC/RNC as long as the limit is not exceeded. The limit may equal or be different to that in use in the base station. If the limit is exceeded, use of any excessive setpoint values is prevented at the RNC and thus this embodiment corresponds the use of an upper limit at the BS.

It is also possible to have the outer loop functionality 10 frozen or limited such that all or a selected number of mobile stations communicating with the base station 4 are influenced, i.e. that the power levels of all or selected connections are cut, frozen or held below a certain limit. The connections may also be set into a priority order. In the 15 latter instance the procedure can be such that the power levels of the lowest priority connections are limited and/or frozen first, and the highest priority connections are limited as last, if at all. The priority order classification of the connections may be based on the type of the 20 subscription. A possibility is to use the type of the ongoing connection as basis for the prioritisation. For instance, speech, data and video connections may have different priorities. The same applies for "normal" calls, calls to emergency numbers, business calls, "hotline" calls and so on.

The OLPC/RNC freezing and/or limitation procedure may occur after the interference level or some other indication of a power limitation situation is signalled from the BS to the RNC. As explained, the RR indication message is sent periodically (e.g. in periods between 100ms to 1s). Now, if the interference value PrxTotal exceeds PrxTargetBS (=

PrxTarget + PrxOffset) as discussed above, the OLPC/RNC can

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be limited or frozen only after the indication of this has been received and processed at the RNC.

If the setpoint values at the BS are not allowed to follow the OLPC/RNCs from the RNC, the RNC Eb/No-setpoint 16 may start to differ from the Eb/No-setpoint 17 used by the BS for the closed loop functionality 18. This is due the fact that in most cases there will be a delay before the RNC 5 receives the overload indication 14 from the BS 4 and thus before the RNC may take similar actions to the BS. In other words, if an overload or another power limitation situation is detected, the two Eb/No-setpoints 16 and 17 of Figure 2 start to drift because the outer loop PC generated by the RNC is no longer allowed to adjust the closed loop PC 18. This difference will be referred to in the following as drifting.

After the power limitation situation is over, the operation of the OLPC/BS and OLPC/RNC is returned to a normal mode. The Eb/No-setpoint drifting between the BS and the RNC has to be removed during the recovery after the overload situation is over (PrxTotal < PrxTargetBS) and the outer loop PC is again allowed to control the power levels. The base station can remove the drift internally because it knows the actual setpoint value 17 in at the base station 4 and also the setpoint value 16 in use at the RNC 5. Thus it is possible to set either the setpoint value 16 to equal with the actual setpoint 17 or vice versa before the operation is returned to a normal mode. In addition, history information can be used for the recovery, i.e. the setpoint values in the BS and the RNC can be returned to a value used by them before the power limitation situation was detected. It is also possible to use a default or nominal value to which the setpoint in the RNC

and/or in the BS is returned at the beginning of the normal mode.

However, in order to provide a controlled recovery and to avoid any too "sharp" changes in the setpoint values, it may be preferred that the returning to the appropriate setpoint value is not done at once. This is especially the case when the quality of the connection is substantially bad. Therefore it may be preferred to use some procedure to gradually decrease the drifting.

As shown by the flow chart of figure 8, the BS 4 may initiate the recovery procedure by checking for a possible drift of the Eb/No-setpoints when an Eb/No-setpoint down command is received from the RNC 5. If the check is positive, i.e. an existing drift is detected, the drifting is reduced instead of the actual Eb/No-setpoint. When an Eb/No-setpoint up command is received in BS, then the actual Eb/No-setpoint is always increased if the cell is not overloaded.

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Before explaining in more detail the embodiments aimed for solving the drifting problem, the arrangements of Figures 3 and 4 will be briefly discussed. It is noted that even though Figures 3 and 4 disclose a more complex communication network arrangement than Figure 2, the following embodiments can also be implemented in the Figure 2 implementation.

Figure 3 shows a situation in which a mobile station MS is controlled by two separate base stations 4 and 4' (e.g. during a handover procedure). Al and A2 designate the first setpoints corresponding the setpoint 16 of Figure 2 in the respective base stations. The second setpoint of the base stations is correspondingly designated by B1 and B2. The RNC

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5 controls EB/No-setpoints of the base stations 4 and 4' by providing both base stations with relative outer loop power control (UP/DOWN) over an exemplifying Iub interface 19.

Figure 4 shows an embodiment in which the mobile station is 5 subjected to a soft handover procedure. As in the above, the outer loop PC of a radio network controller can control several Eb/No-setpoints in several BSs. However, Figure 4 discloses the possibility that all Eb/No-setpoints in a BS are not controlled by the same controller. In this kind of 10 situation one of the controllers is the main controller while the other controller is used for assisting in the control of the station during the handover proceedings. In Figure 4 the main controller comprises a serving RNC (S-RNC) 5 and the assisting controller comprises a drifting RNC (D-RNC) 5'. The 15 serving and drifting RNC are connected to each other over an exemplifying Iur interface 20. Since the overload indication has now to be transmitted from the BS1 to the serving RNC 5 over two interfaces 19 and 20 and also through the drifting RNC 5', the delay is even longer than what it would be in 20 Figures 2 or 3.

The serving RNC 5 of Figure 4 controls the outer loop PC. However, the load control is performed by the load control 11 of the drifting RNC 5'. This means that in the case of a power limitation situation (overload at BS1 in Figure 4), the outer loop PC functionality performed by the serving RNC is not interrupted, and thus the used Eb/No-setpoint (B1) and Eb/No-setpoint of RNC (A,A1) start to drift. Moreover, the Eb/No-setpoints (A2,B2) used for other handover branches can also start to increase. However, this does not cause uplink (UL) power increase as long as BS1 can control power of MS in addition to BS2. The reason for this is that the MS will not

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increase its transmission power as long as it receives at least one DOWN command from at least one base station.

In a normal situation A1 A2 A and B1 A1 and B2 A2 in Figures

3 and 4. Because of the overload at the BS1 the Eb/Nosetpoints have started to drift. The controlling RNC allows
the system to return to the normal PC functionality after the
radio resource indication measurements have indicated that
the PrxTotal is below the set PrxTargetBS. After the cell has

10 returned back on the normal load state (PrxTotal <

PrxTargetBS) the outer loop PC is allowed again to control
the power levels at the BS. At this stage a drifting
detection unit 21 can define the amount of the drifting.

- When the normal operation of the power control functionality is allowed again, the drifting of the Eb/No-setpoints has to be reduced. Base station BS1 can remove the drift (A1<>B1, A2<>B2) internally, because it knows the actual used value (B1,B2) and also the value in use in the RNC (A1,A2).
- However, in order to avoid any too sharp changes in the setpoint values, a gradual Eb/No-setpoint adjustment can be accomplished. This can be done e.g. such that when an Eb/No-setpoint down command is received from the RNC 5, the BS checks drift of Eb/No-setpoints. If the check is positive the drift is reduced instead of the actual Eb/No-setpoint. When an Eb/No-setpoint up command is received in BS, then the actual Eb/No-setpoint is always increased if the cell is not overloaded.
- 30 Table 1 of Figure 5 shows various stages of the embodiment for reducing a drift of Eb/No-setpoints between a BS and a RNC when using the following parameters.

SetUp = 0.5 dBStepDown = 0.1 dBInitial Eb/No-setpoint = 4.1 dB

It is noted that Table 1 shows the operation of an exemplifying power control mechanism using relative adjustments. However, the herein described principles can also be applied to a power control mechanism using absolute adjustment of the power levels.

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- In Table 1 "A" is the Eb/No-setpoint of the RNC. "A1" and "A2" are the outer loop PC Eb/No-setpoint values of BS1 and BS2, respectively. BS1 and BS2 are both controlled by the same RNC. "B1" and "B2" are the Eb/No-setpoints used by the closed loop PC. "B1" and B2" are controlled by the outer loop PC of the BS. "OFF" means that the outer loop PC functionality is switched off. In other words, when the OLPC is in "OFF" state, the adjustment of "B1" and "B2" is not allowed in base stations regardless the commands transmitted by the OLPC. Correspondingly, adjustment of the "A1" and "A2" values is not allowed in the RNC. When the OLPC is switched "ON", this means that outer loop PC functionality is allowed again.
- As explained, the OLPC/BS at the base station of the overloaded cell is frozen before the OLPC/RNC at the RNC becomes frozen and therefore an Eb/No-setpoint drifting may exist between the outer loops of the base station 4 and the radio network controller 5. Although the drifting can be eliminated by using the algorithm described above, this may not be fast enough procedure in all occasions and some further processing may be required.

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For instance, the OLPC/RNC might already have been escalated/diverged, i.e. the Eb/No-setpoint of the OLPC/RNC may have raised substantially (several dBs) during the last RR indication period. This is caused partially because the RR indication period (i.e. how often the RR indications are sent) may be substantially long, wherein the OLPC/RNC will be frozen a long time (up to one RR indication period) after the OLPC/BS of the overloaded cell was frozen. The freezing of the OLPC/BS may, however, have lead into a generation of numerous frame errors (FE). The frame errors will increase the FER. The increased FER will then further unnecessarily increase the Eb/No-setpoint of the OLPC/RNC, and this will increase further the drifting between the OLPC/BS and the OLPC/RNC.

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The above phenomena is one of the reasons why the normal functionality of the OLPC/RNC may not be enough right after the power limitation situation is over and the limiting or freezing of the OLPC/BS and OLPC/RNC is cancelled. The OLPC/RNC Eb/No-setpoint may have been drifted several dBs above the situation the Eb/No-setpoint was during the previous RR indication period just before the power limitation is encountered in the RNC by a new RR indication message from the BS. The OLPC/RNC drift can be defined in the following manner:

OLPC/RNC DRIFT = Eb/No<sub>2</sub> - Eb/NO<sub>1</sub>

where

 ${\rm Eb/No_2}$  is the  ${\rm Eb/No\textsubsection}$  setting at the point when the overload situation is over and the OLPC/RNC is no longer frozen; and

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 ${\mbox{Eb/No}}_1$  is the last  ${\mbox{Eb/No}}\mbox{-setpoint}$  of a RR indication period preceding the RR indication sent from the overloaded BS.

The example presented in Table 2 of Figure 6 will clarify further the embodiment. In Table 2 Eb/No1 is the last Eb/Nosetpoint of the previous RR indication period preceeding the RR indication sent from the overloaded BS. EB/No2 is the Eb/No-setpoint at the point of time when the overload 10 situation is over and the OLPC/RNC is no longer frozen. "A" is the Eb/No-setpoint of the RNC. "A1" and "A2" are the outer loop PC Eb/No-setpoint values of the BS, which are controlled by the RNC. "B1" and "B2" are the Eb/No-setpoints used by the closed loop PC, and are controlled by the outer loop PC of BS 15 (OLPC/BS). "OFF" means that the outer loop PC functionality is switched off (i.e. adjusting of "B1" and "B2" is not allowed in the BS or in the case of the RNC, adjusting of "A1" and "A2" values is not allowed. "ON" means that the outer loop PC functionality is allowed to return to normal 20 operation.

It is possible that the base station and the controller have estimated a different amount of drift to be removed, e.g. due to the different time of initiating the limitation / freezing procedures. Therefore the algorithm cab be such that after the OLPC/RNC is freed, the drift (= EbNo2 - EbNo1) will be eliminated by decreasing the OLPC/RNC Eb/No-setpoint e.g. by 0.2 dB (normal decrease may be e.g. 0.1 dB) until the drift equals zero or a new Frame Error occurs. At this stage the drift elimination algorithm at the RNC is cancelled, the Eb/No-setpoint is increased by e.g. 0.5 dB and a normal OLPC/RNC action will follow. However, the OLPC/BS drifting

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prevention algorithm described above may still operate until the drift thereof is removed in its entirety.

It should be appreciated that whilst embodiments of the

present invention have been described in relation to mobile stations, embodiments of the present invention are applicable to any other suitable type of user equipment.

The data is described as being in packet form. In alternative embodiments of the invention the data may be sent in any suitable format.

The embodiment of the present invention has been described in the context of a CDMA system. This invention is also applicable to any other access techniques including frequency division multiple access or time division multiple access as well as any hybrids thereof.

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The embodiment of the invention has discussed the interaction between a radio network controller and a base station.

Embodiments of the present invention can be applicable to other network elements where applicable.

It is also noted herein that while the above describes one exemplifying embodiment of the invention, there are several variations and modifications which may be made to the disclosed solution without departing from the scope of the present invention as defined in the appended claims.

#### Claims

1. A method in a communication system, said system comprising a controller and a first station for communication with a second station with variable transmission power over a radio connection, wherein the controller provides the first station with a target for a transmission parameter of the radio connection and the first station adjusts the transmission power of the second station on basis of the target, comprising:

monitoring for a predefined condition;

upon occurrence of the predefined condition, preventing use of a target for the transmission parameter exceeding a limit value for the target for the transmission parameter.

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- 2. A method according to claim 1, wherein use of a target for the transmission parameter exceeding the limit value is prevented at the first station.
- 20 3. A method according to claim 1 or 2, wherein use of a target for the transmission parameter exceeding the limit value is prevented at the controller.
- A method according to any of the preceding claims,
   wherein the limit value equals with the target for the transmission parameter in use at the moment of detecting the predefined condition.
- 5. A method according to claim 4, wherein the target for the transmission parameter is held at the limit value until the condition is over.

- 6. A method according to any of the preceding claims, wherein the predefined condition comprises a temporary power limitation situation at the first station.
- 5 7. A method according to any of the preceding claims, wherein the predefined condition comprises an overload situation at the first station.
- 8. A method according to any of the preceding claims,
  10 wherein the predefined condition comprises a failure in the communication system.
- A method according to any of the preceding claims,
   wherein the monitoring of the occurrence of the predefined
   condition is based on determination of the interference power of the radio connection.
- 10. A method in according to any of the preceding claims, wherein the target for the transmission parameter comprises connection quality target.
  - 11. A method according to any of claims 1 to 9, wherein the target for the transmission parameter comprises signalling energy/noise target.

12. A method according to any of claims 1 to 9, wherein the target for the transmission parameter comprises a target transmission power level of the transmission from the second

station.

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13. A method according to any of the preceding claims, wherein the step of preventing the target for the transmission parameter to exceed the limit value comprises

ignoring power control commands at the first station until the predefined condition is over.

14. A method according to any of the preceding claims, wherein the step of preventing of the target for the transmission parameter to exceed the predefined value comprises preventing a generation of new power control commands at the controller until the predefined condition is over.

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15. A method according to any of the preceding claims, wherein the controller controls the transmission powers between the first station and the second station by means of outer loop power control.

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16. A method in accordance with any of the preceding claims, further comprising steps of:

receiving the target for the transmission parameter from the controller at the first station:

creating a further target for the transmission parameter at the first station for use in the transmission power adjustment, wherein the further target corresponds the target received from the controller until the predefined condition is detected whereafter the further target is prevented to exceed the limit value for the target and the target received

from the controller is ignored.

- 17. A method in accordance with any of the preceding claims, further comprising steps of:
- detecting a difference between the value of the target for the transmission parameter provided by the controller and the value of the target for the transmission parameter used

for power control by the first station after the predefined condition is over; and

reducing the difference between the said two target values.

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- 18. A method according to claim 17, wherein reducing of the difference is based on history information of the target used for the power control prior the detection of the condition.
- 10 19. A method according to claim 17, wherein the step of reducing the difference comprises changing the value of the target provided by the controller to equal values of the target used by the first station for controlling the transmission power at the moment the condition is detected to be over.
  - 20. A method according to any of claims 17 to 19, wherein the difference between the said two target values is reduced gradually.

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21. A method according to claim 20, wherein the gradual reducing of the difference comprises steps of;

ignoring a request from the controller to reduce the transmission power until the difference between the target

values used by the first station and provided by the controller is below a predefined level; and

subtracting a predefined amount from the difference as response to said request.

30 22. A method according to claim 21, wherein the predefined amount corresponds the requested decrease of the transmission power.

- 23. A method according to any of claim 20 or 21, wherein the gradual reducing of the difference comprises requesting a decrease of the transmission power by an amount that is greater than the amount of decrease requested in a normal mode of operation until the difference between the target values used by the first station and provided by the controller is below a predefined level.
- 24. A method according to any of the preceding claims,10 wherein the transmission power control is based on use of relative power control requests.
- 25. A method according to any of the preceding claims, wherein the communication system comprises a further station similar to the first station and the controller controls the transmission power of the second station by providing both the first and the further station with targets for the transmission parameter.
- 20 26. A method according to any of the preceding claims, wherein connections between the first station and other stations are adjusted in a priority order.
- 27. A method according to any of the preceding claims,
  25 wherein the controller comprises a radio network controller of a cellular communication system, the first station comprises a base station of the cellular communication system and the second station comprises a mobile station, and wherein the transmission power to be adjusted comprises
  30 transmission power from at least one mobile station towards at least one base station.
  - 28. A communication system, comprising:



a controller arranged to control transmission power of stations:

a first station and a second station capable of providing a communication path therebetween, wherein the controller is arranged to provide the first station with a target for use in control of the transmission power of the second station;

monitoring means for monitoring for a predefined condition; and

- 10 means for preventing use of a target for the transmission parameter exceeding a limit value for the target for the transmission parameter upon occurrence of the predefined condition.
- 15 29. A communication system according to claim 28, further comprising at the first station a first target functionality for receiving the target from the controller and a further target functionality for generating a further target for the transmission parameter, wherein the arrangement is such that 20 the further target is used for the power control of the second station and corresponds the target provided by the controller unless the predefined condition is detected whereafter the further target is set such that the limit value for the target for the transmission parameter is not exceeded.
- 30. A communication system according to claim 29, further comprising detecting means for detecting a difference between the target and the further target and recovery means for reducing the difference after the predefined condition is over.

- 31. A communication system according to claim 30, wherein the recovery means are arranged to reduce the difference gradually.
- 32. A communication system according to any of claims 28 to 31, wherein the controller comprises a radio network controller of a cellular communication system, the first station comprises a base station of the cellular communication system and the second station comprises a mobile station, and wherein the transmission power to be adjusted comprises transmission power from at least one mobile station towards at least one base station.
- 32. A station of a communication system, said station
  15 controlling transmission power of a further station
  transmitting towards the station, wherein the station is
  arranged to:

receive a target for a transmission parameter provided by a controller of the communications system for use in the control of transmission power of the further station;

monitor for a predefined condition; and

upon occurrence of the predefined condition, to prevent use of targets for the transmission parameter exceeding a limit value for the target for the transmission parameter.

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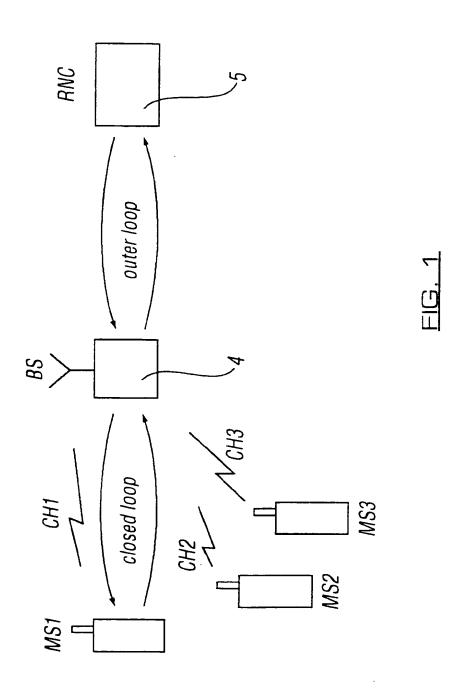
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33. A station according to claim 32, further comprising a first target functionality for receiving the target for the transmission parameter provided by the controller and a further target functionality for generating a further target for the transmission parameter, wherein the arrangement is such that the further target is used for the power control of the further station and corresponds the target received from the controller unless the predefined condition is detected

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whereafter the further target is set by the further target functionality such that the limit value for the target is not exceeded.

- 5 34. A station according to claim 33, further comprising detecting means for detecting a difference between the target and the further target and recovery means for reducing the difference after the predefined condition is over.
- 10 35. A station according to claim 34, wherein the recovery means are arranged to reduce the difference gradually.



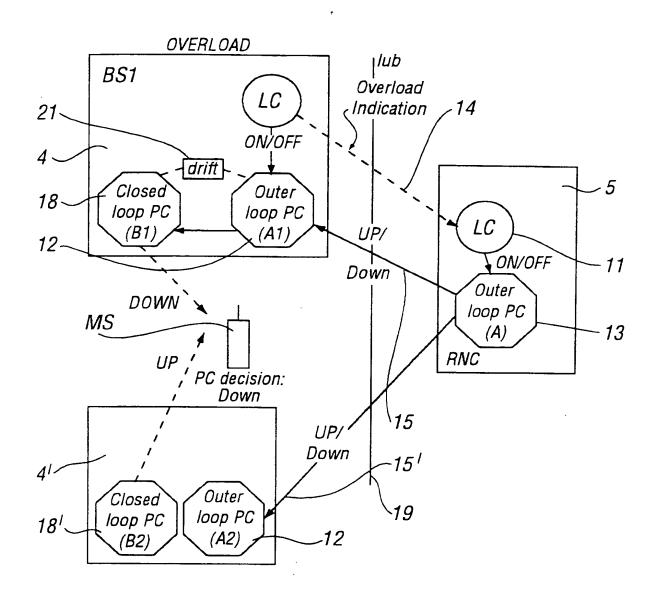


FIG. 3

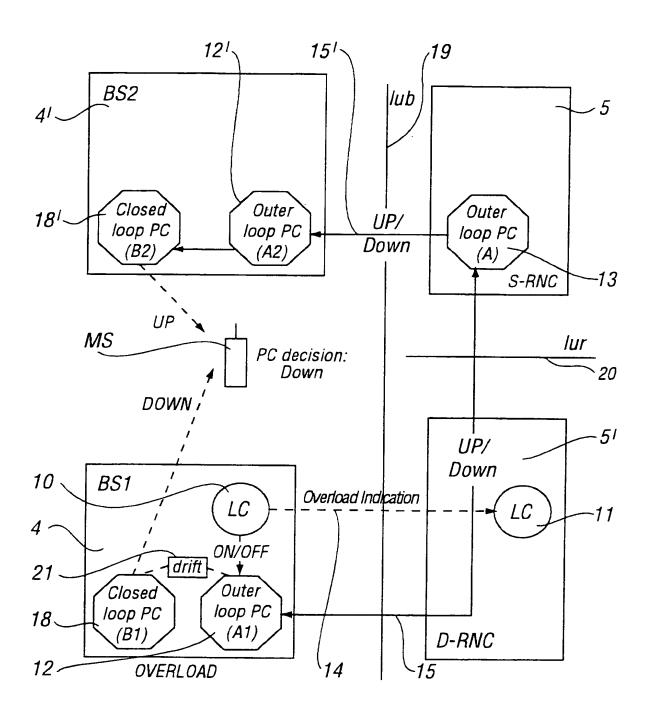


FIG. 4



# TABLE 1

RNC	lub	BS1/BS2	BS2	BS1	BS1	
Α	Command	A1/A2	B2	B1	DriftB1<>A1	
4.1dB		4.1db	4.1db	4.1db	0.0db	
Normal fi	unctionality					
4.0	StepDown	4.0	4.0	4.0	0.0	
4.5	StepUp	4.5	4.5	4.5	0.0	
		Cell 1 is ov	erloaded, OL	PC/BS1 OFF		
5.0	StepUP	5	5	4.5	+0.5	
4.9	StepDown	4.9	4.9	4.4	+0.5	
Indication	to RNC OLPC/RN	C OFF				
4.8	Stepdown	4.8	4.3	4.3	+0.5	
		Cell1 is in n	ormal load, (	OLPC/BS1 O	V	
4.7	StepDown	4.7	4.7	4.3	+0.4	
4.6	StepDown	4.6	4.6	4.3	+0.3	
4.5	StepDown	4.5	4.5	4.3	+0.2	
RNC notices that cell 1 is not overloaded OLPC/RNC ON						
5.0	StepUP	5.0	5.0	4.8	+0.2	
4.9	StepDown	4.9	4.9	4.8	+0.1	
4.8	StepDown	4.8	4.8	4.8	0.0	
4.7	stepDown	4.7	4.7	4.7	0.0	

FIG. 5



# TABLE 2

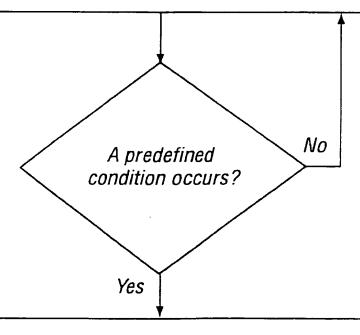
RNC	lub	BS1/BS2	BS2	BS1	BS1
А	Command	A1/A2	B2	B1	DriftB1<>A1
4.1 dB		4.1db	4.1db	4.1db	0.0 <b>d</b> b
(=EbNo1)					
Normal function	nality				
			_		
4.0	StepDown	4.0	4.0	4.0	0.0
4.5	StepUp	4.5	4.5	4.5	0.0
		Cell 1 is ove	rloaded, OLI	PC/BS1 OFF	
5.0	StepUP	5	5	4.5	+0.5
5.5	StepUP	5.5	5.5	4.5	+1.0
Indication to R	INC OLPC/RN	C OFF			
	•				
5.4	Stepdown	5.4	5.4	4.4	+1.0
		Cell1 is in no	ormal load, (	OLPC/BS1 O	N
5.3	StepDown	5.3	5.3	4.4	+1.0
5.2	StepDown	5.2	5.2	4.4	+0.9
5.1	StepDown	5.1	5.1	4.4	+0.8
(=EbNo1)					
RNC notices th	nat cell 1 is no	t overloaded C	DLPC/RNC O	N	•
4.9 (-0.2)	StepDown	4.9	4.9	4.4	+0.5
4.7 (-0.2)	StepDown	4.7	4.7	4.4	+0.3
4.5 (-0.2)	StepDown	4.5	4.5	4.4	+0.1
4.3 (-0.2)	StepDown	4.3	4.3	4.3	No drift
					between BS and RNC
					EbNo's
4.1 (-0.2)	StepDown	4.1	4.1	4.1	
(=EbNo1,the					
RNC-drift=0)					

FIG. 6

A first station communicates with a second station with a variable transmission power

A controller provides the first station with a target

The first station adjusts the transmission power of the second station on basis of the target



Set a temporary limit for the target and prevent use of a target exceeding the limit until the condition is over

FIG. 7

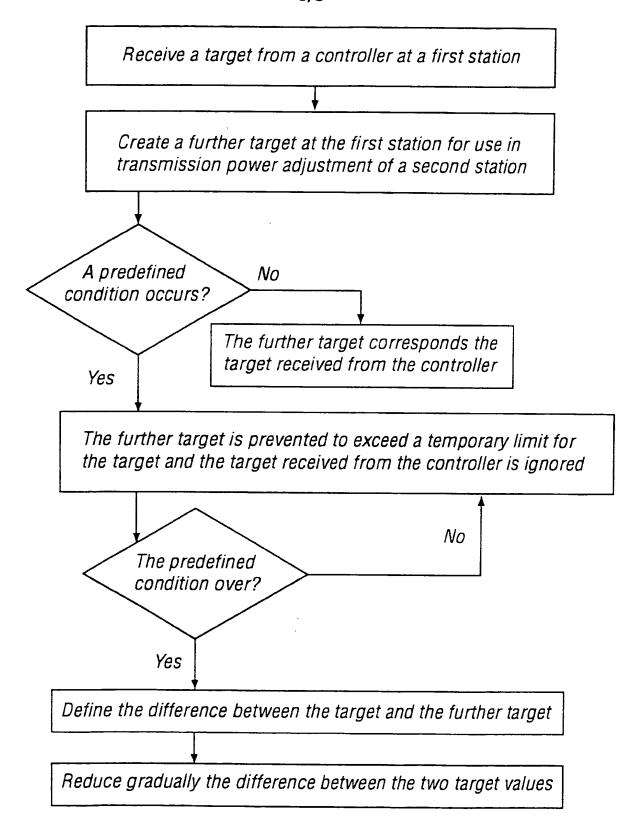


FIG. 8



Interi nal Application No PCT/F200/09105

Α.	CLASS	IFICATION	OF S	UBJECT	MATTER	7
	r 7	H041	R7/r	ነበፍ		

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 HO4B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, INSPEC

ategory °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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	abstract; figures 4,5	
	column 9, line 30 -column 10, line 22 column 13, line 42 -column 14, line 53 column 16, line 65 -column 17, line 20	
	<b></b>	
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Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
<ul> <li>Special categories of cited documents:</li> <li>'A' document defining the general state of the art which is not considered to be of particular relevance</li> <li>'E' earlier document but published on or after the international filing date</li> <li>'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>'O' document referring to an oral disclosure, use, exhibition or other means</li> <li>'P' document published prior to the international filing date but later than the priority date claimed</li> </ul>	<ul> <li>'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>'&amp;' document member of the same patent family</li> </ul>
Date of the actual completion of the international search  29 November 2000	Date of mailing of the international search report  22/12/2000
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  Fax: (+31-70) 340-3016	Authorized officer Sieben, S

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Interi nal Application No PCT/F=00/09105

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Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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A	abstract; figures 1-3,7,8 page 3, line 5 - line 19 page 6, line 10 - line 26 page 8, line 1 - line 14 page 13, line 13 -page 16, line 6	9-12
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	column 2, line 23 - line 55 column 5, line 6 - line 19; figure 2A column 9, line 37 -column 10, line 19; figures 3,5,7	
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	page 3, line 51 -page 4, line 5 page 11, line 55 -page 12, line 18 page 13, line 32 - line 36 page 14, line 37 - line 46; figures 4,5	
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	column 18, line 9 -column 19, line 41; figures 10,25-29	32-34
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	abstract; figures 4,5 page 6, line 8 - line 25; figure 1 claims 1,8	

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Interi nal Application No
PCT/ 0/09105

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US 5333175	Α	26-07-1994	NONE	
WO 9941850	Α	19-08-1999	FI 980348 F FI 981811 F AU 2426899 F	17-08-1999
US 5774785	Α	30-06-1998	AU 719567 E AU 3280197 A BR 9709832 A CA 2258230 A WO 9749198 A	07-01-1998 0 10-08-1999 0 24-12-1997
EP 0936753	A	18-08-1999	FI 980343 A JP 11284570 A	
US 5924043	Α	13-07-1999	JP 10126337 A	15-05-1998
WO 0045528	A	03-08-2000	AU 2976400 A	18-08-2000

The demand must be filed directly with the comment International Preliminary Examining Authority or, if we more Authorities are competent, with the one chosen by the applicant. The full name or two-letter code of that Authority may be indicated by the applicant on the line below:

IPEA/\_EP

# **PCT**

**CHAPTER II** 

#### **DEMAND**

under Article 31 of the Patent Cooperation Treaty:
The undersigned requests that the international application specified below be the subject of international preliminary examination according to the Patent Cooperation Treaty and hereby elects all eligible States (except where otherwise indicated).

For International Preliminary Examining Authority use only					
,					
Identification of IPEA		Date of receipt of DEMAND			
			Applicant's or agent's file reference		
Box-NoI IDENTIFICATION-OF-T	HE-INTERNATIONAL	APPLICATION	102753/JPR		
International application No.	International filing date	(day/month/year)	(Earliest) Priority date (day/month/year)		
PCT/EP00/09105	14 September 2000	)	16 September 1999		
Title of invention		· · · · · · · · · · · · · · · · · · ·			
POWER CONTROL IN A CO	OMMUNICATION	SYSTEM			
Box No. II APPLICANT(S)	,				
Name and address: (Family name followed by The address must include po	given name; for a legal entity, ostal code and name of country,	full official designation.	Telephone No.		
N. I. N. I. ada O.			Facsimile No.		
Nokia Networks Oy Keilalahdentie 4			Teleprinter No.		
FIN-02150 ESPOO					
Finland			Applicant's registration No. with the Office		
State (that is, country) of nationality:		State (that is, countr	ry) of residence:		
FI			fl		
Name and address: (Family name followed by §	given name; for a legal entity, fi	ull official designation. The	address must include postal code and name of country.)		
LAAKSO, Janne					
Paraistentie 17 c 44					
FIN-00280 Helsinki					
Finland	•				
State (that is, country) of nationality:		State (that is, countr	y) of residence:		
FI		<u></u>			
Name and address: (Family name followed by §	given name; for a legal entity, fi	ull official designation. The	address must include postal code and name of country.)		
·					
SAHINOJA, Jari					
Sepelkyyhkyntie 3 B 24	•				
FIN-02660 Espoo			·		
Finland .					
		S	) of residences		
State (that is, country) of nationality:		State (that is, country,	FI		
Further applicants are indicated on	a continuation sheet.				

Sheet No. 2..

International application No. PCT/EP00/09105

if none of me foreving out outes a used, mas sheet should he	ot be included in the demand.
Name and address: (Family name followed by given name: for a HOLMA, Harri Ripusuontie 92 C FIN-00660 Helsinki Finland	legal entity, full official designation. The address must include postal code and name o
State (that is, country) of nationality:	State (that is, country) of residence:
Name and address: (Family name followed by given name; for a	legal entity, full official designation. The address must include postal code and name o
State (that is, country) of nationality:	State (that is, country) of residence:
State (mar is, country) of nationality.	State (mar is, country) of residence.
Name and address: (Family name followed by given name: for a le	egal entity, full official designation. The address must include postal code and name of
•	
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	Canal (d. 1)
State (that is, country) of nationality:	State (that is, country) of residence:
Name and address: (Family name followed by given name; for a le	igal entity, full official designation. The address must include postal code and name of
	•
	<u>.</u>
	·
	State (that is, country) of residence:



Sheet No. . . .

International application No. PCT/EP00/09105

Box No. III AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE						
The following person is  agent  common representative						
and x has been appointed earlier and represents the applicant(s) also for international preliminary examination.						
is hereby appointed and any earlier appointment of (an) agent(s)/common repres	entative is hereby revoked.					
is hereby appointed, specifically for the procedure before the International Prelimenter appointed earlier.	ninary Examining Authority, in addition to					
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)	Telephone No. 020 7831-7929					
RUUSKANEN, Juha-Pekka	Facsimile No.					
PAGE WHITE & FARRER	020 7831-8040					
54 Doughty Street	Teleprinter No. 8955681					
London WC1N 2LS United Kingdom	Agent's registration No. with the Office					
ormou ranguom	Agent stegistration No. with the Office					
Address for correspondence: Mark this check-box where no agent or common space above is used instead to indicate a special address to which correspondence	representative is/has been appointed and the e should be sent.					
Box No. IV BASIS FOR INTERNATIONAL PRELIMINARY EXAMINATION						
Statement concerning amendments:*						
1. The applicant wishes the international preliminary examination to start on the basis o	f:					
the international application as originally filed						
the description x as originally filed						
as amended under Article 34						
the claims x as originally filed						
as amended under Article 19 (together with any accompanying statement)						
as amended under Article 34						
the drawings x as originally filed						
as amended under Article 34						
2. The applicant wishes any amendment to the claims under Article 19 to be considered as reversed.						
The applicant wishes the start of the international preliminary examination to be p from the priority date unless the International Preliminary Examining Authority	receives a copy of any amendments made					
under Article 19 or a notice from the applicant that he does not wish to make such box may be marked only where the time limit under Article 19 has not yet expired	d.)					
* Where no check-box is marked, international preliminary examination will start on the basis of the international application as originally filed or, where a copy of amendments to the claims under Article 19 and/or amendments of the international application under Article 34 are received by the International Preliminary Examining Authority before it has begun to draw up a written opinion or the international preliminary examination report, as so amended.						
Language for the purposes of international preliminary examination: EN						
which is the language in which the international application was filed.						
which is the language of a translation furnished for the purposes of internation	onal search.					
which is the language of publication of the international application.						
which is the language of the translation (to be) furnished for the purposes of	international preliminary examination.					
Box No. V ELECTION OF STATES						
The applicant hereby elects all eligible States (that is, all States which have been designathe PCT)	ted and which are bound by Chapter II of					
excluding the following States which the applicant wishes not to elect:						

	International application PCT/EP00/09			
Box No. VI CHECK LIST				
The demand is accompanied by the following el Box No. IV, for the purposes of international p	For International Examining Authoreceived			
translation of international application	:	sheets		
2. amendments under Article 34	:	sheets		
copy (or, where required, translation) of amendments under Article 19	;	sheets		
copy (or, where required, translation) of statement under Article 19	:	sheets		
5. letter	: 1	sheets		
6. other (specify)	:	sheets		
The demand is also accompanied by the item(s) m	narked below:			
1. x fee calculation sheet		5. statement expla	ining lack of signature	:
2. original separate power of attorney		6. sequence listing	g in computer readable	form
3. original general power of attorney		7. other (specify):		
4. copy of general power of attorney; reference number, if any:		·		
Box No. VII SIGNATURE OF APPLICANT,	AGENT OR CO	OMMON REPRESENT	TATIVE	
RUUSKANEN, Juha-Pekka		Agent)		
	onal Preliminary I	Examining Authority use	only —	
Date of actual receipt of DEMAND:				
Adjusted date of receipt of demand due to CORRECTIONS under Rule 60.1(b):	<u>-</u>			
3. The date of receipt of the demand is A from the priority date and item 4 or 5,	•		The applicant ha	
4. The date of receipt of the demand is Rule 80.5.	WITHIN the per	riod of 19 months from t	the priority date as ex	tended by virtue of
5. Although the date of receipt of the der is EXCUSED pursuant to Rule 82.	mand is after the	expiration of 19 months	from the priority date,	the delay in arrival
	For International	Bureau use only		
Demand received from IPEA on:		•		

# **PCT**

#### NOTIFICATION OF THE RECORDING **OF A CHANGE**

	From t	he INTERNA	ATIONAL B	UREAU	
PCT	То:		***		
NOTIFICATION OF THE RECORDING OF A CHANGE  (PCT Rule 92bis.1 and Administrative Instructions, Section 422)  Date of mailing (day/month/year) 28 January 2002 (28.01.02)	RUUSKANEN, Juha-Pekka Page White & Farrer 54 Doughty Street London WC1N 2LS ROYAUME-UNI  ANS GROSSIAN AND GRO				
Applicant's or agent's file reference		it/IDORT	TANT NOTI	ICICATION	
102753/JPR		IIVIF On a	ANTINOT	FICATION	
International application No.		nal filing date			
PCT/EP00/09105	14 S	September 2	000 (14.09.	00)	
The following indications appeared on record concerning:      The applicant the inventor	the agen		٠, ,	on representative	
Name and Address  NOKIA NETWORKS OY  Keilalahdentie 4  FIN-02150 Espoo  Finland		State of Nation		State of Residence FI	
		Facsimile No		· .	
		Teleprinter N	lo.		
2. The International Bureau hereby notifies the applicant that the	following	change has be	en recorded c	concerning:	
X the person the name the addre	ess [	the nation	ality	the residence	
Name and Address		State of Natio	onality	State of Residence	
NOKIA CORPORATION Keilalahdentie 4	].	FI		FI	
FIN-02150 Espoo Finland		Telephone No	э.		
riiiaiiu	ļ	Facsimile No.	,		
	ļ	Teleprinter No	n.		
•		· = · = F	<b>.</b>		
3. Further observations, if necessary:					
4. A copy of this notification has been sent to:		<del></del>	<del></del>		
X the receiving Office		the design	ated Offices c	oncerned	
the International Searching Authority		the elected	d Offices conce	erned	

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

the International Preliminary Examining Authority

Authorized officer

Anne KARKACHI

Telephone No.: (41-22) 338.83.38

other:

Form PCT/IB/306 (March 1994)

Facsimile No.: (41-22) 740.14.35

004623928

#### **PCT**

# NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

From the INTERNATIONAL BUREAU

To:

RUUSKANEN, Juha-Pekka Page White & Farrer 54 Doughty Street London WC1N 2LS ROYAUME-UNI



Date of mailing (day/month/year) 22 March 2001 (22.03.01)

Applicant's or agent's file reference

-102753/JPR

IMPORTANT NOTICE

International application No. PCT/EP00/09105

International filing date (day/month/year)
14 September 2000 (14.09.00)

Priority date (day/month/year)

16 September 1999 (16.09.99)

Applicant

NOKIA NETWORKS OY et al

 Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this Notice: AU,KP,KR,US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

AE,AG,AL,AM,AP,AT,AZ,BA,BB,BG,BR,BY,BZ,CA,CH,CN,CR,CU,CZ,DE,DK,DM,DZ,EA,EE,EP,ES,FI,GB,GD,GE,GH,GM,HR,HU,ID,IL,IN,IS,JP,KE,KG,KZ,LC,LK,LR,LS,LT,LU,LV,MA,MD,MG,MK,MN,MW,MX,MZ,NO,NZ,OA,PL,PT,RO,RU,SD,SE,SG,SI,SK,SL,TJ,TM,TR,TT,TZ,UA,UG,UZ,VN,YU,The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

 Enclosed with this Notice is a copy of the international application as published by the International Bureau on 22 March 2001 (22.03.01) under No. WO 01/20806

#### REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)

If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

It is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

#### REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))

If the applicant wishes to proceed with the international application in the national phase, he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Volume II of the PCT Applicant's Guide.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer

J. Zahra

Telephone No. (41-22) 338.83.38

Facsimile No. (41-22) 740.14.35



(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER see Notification of	f Transmittal of International Search Report
102753/JPR	ACTION (FOITH PCT/ISA/2	20) as well as, where applicable, item 5 below.
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/EP 00/09105	14/09/2000	16/09/1999
Applicant		
NOKIA NETWORKS OY et al.	/	
This International Search Report has been according to Article 18. A copy is being tra	prepared by this International Searching Authorsmitted to the International Bureau.	ority and is transmitted to the applicant
This International Search Report consists of X It is also accompanied by a	of a total of sheets. a copy of each prior art document cited in this	report.
1. Basis of the report		
<ul> <li>a. With regard to the language, the in language in which it was filed, unle</li> </ul>	nternational search was carried out on the bas ess otherwise indicated under this item.	is of the international application in the
the international search wa Authority (Rule 23.1(b)).	is carried out on the basis of a translation of th	e international application furnished to this
<ul> <li>With regard to any nucleotide and was carried out on the basis of the</li> </ul>	<b>/or amino acid sequence</b> disclosed in the int sequence listing:	ernational application, the international search
	al application in written form.	
filed together with the interior	national application in computer readable form	•
furnished subsequently to t	his Authority in written form.	•
furnished subsequently to t	his Authority in computer readble form.	
the statement that the subs international application as	equently furnished written sequence listing do filed has been furnished.	es not go beyond the disclosure in the
the statement that the information furnished	mation recorded in computer readable form is	identical to the written sequence listing has been
2. Certain claims were found	d unsearchable (See Box I).	
3. Unity of invention is lacki	ng (see Box II).	
4. With regard to the title.	-	<del></del>
the text is approved as subj	mittad by the applicant	
	ed by this Authority to read as follows:	
•	ROL IN A RADIO COMMUNICATIO	N CVCTEM
	TO IN A MADIO COMMONICATIO	n sistem
5. With regard to the abstract,		
the text is approved as subr		
the text has been established within one month from the d	ed, according to Rule 38.2(b), by this Authority ate of mailing of this international search repo	as it appears in Box III. The applicant may, t, submit comments to this Authority
6. The figure of the <b>drawings</b> to be publish		7
X as suggested by the applica		None of the figures.
because the applicant failed		
because this figure better ch		
		- · · · · · · · · · · · · · · · · · · ·

International Application No 00/09105

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 H04B7/005

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

 $\begin{tabular}{ll} \begin{tabular}{ll} Minimum documentation searched (classification system followed by classification symbols) \\ IPC 7 & H04B \end{tabular}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, INSPEC

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
(	US 5 333 175 A (ARIYAVISITAKUL SIRIKIAT ET AL) 26 July 1994 (1994-07-26)	1-5,13, 15,16, 24-29, 32-34 6-12,14
	abstract; figures 4,5	
	column 9, line 30 -column 10, line 22	
	column 13, line 42 -column 14, line 53	
	column 16, line 65 -column 17, line 20	
	_/	
	,	
	•	
	·	

Y Purtner documents are listed in the continuation of box C.	Patent family members are listed in annex.
° Special categories of cited documents :	"T" later document published after the international filing date
"A" document defining the general state of the art which is not considered to be of particular relevance	or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier document but published on or after the international filing date	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to
*L" document which may throw doubts on priority claim(s) or	involve an inventive step when the document is taken alone
which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the
*O* document referring to an oral disclosure, use, exhibition or other means	document is combined with one or more other such docu- ments, such combination being obvious to a person skilled
*P* document published prior to the international filing date but later than the priority date claimed	in the art.  *&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
29 November 2000	22/12/2000
Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Sieben, S

1

international Application No PO 00/09105

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Jacogory		nelevant to claim No.
Y	WO 99 41850 A (NOKIA TELECOMMUNICATIONS OY ;AALTO RISTO (FI); KOHONEN PEKKA (FI);) 19 August 1999 (1999-08-19)	1-5,13, 15,16, 24-29, 32-34
<b>A</b>	abstract; figures 1-3,7,8  page 3, line 5 - line 19  page 6, line 10 - line 26  page 8, line 1 - line 14  page 13, line 13 -page 16, line 6	9-12
<u>A</u>	US 5 774 785 A (KARLSSON PATRIK) 30 June 1998 (1998-06-30)	1,7, -9-12,15, 16,24, 25, 27-29,
-	column 2, line 23 - line 55 column 5, line 6 - line 19; figure 2A column 9, line 37 -column 10, line 19; figures 3,5,7	32-34
A	EP 0 936 753 A (NOKIA MOBILE PHONES LTD) 18 August 1999 (1999-08-18)	1,2,7, 9-12,15, 16, 24-29, 32-34
	page 3, line 51 -page 4, line 5 page 11, line 55 -page 12, line 18 page 13, line 32 - line 36 page 14, line 37 - line 46; figures 4,5	
1	US 5 924 043 A (TAKANO MICHIAKI) 13 July 1999 (1999-07-13)	1,2,6, 9-13,16, 24, 27-29, 32-34
	column 18, line 9 -column 19, line 41; figures 10,25-29	32-34
, X	WO 00 45528 A (QUALCOMM INC) 3 August 2000 (2000-08-03)	1-8, 10-12, 15,24, 25, 27-29, 32-34
	abstract; figures 4,5 page 6, line 8 - line 25; figure 1 claims 1,8	3L 34

1

Information on patent family members

International Application No
PCT 00/09105

Patent document cited in search repor	t	ublication date		Patent family member(s)	Publication date
US 5333175	Α	26-07-1994	NONE	<u> </u>	
WO 9941850	Α	19-08-1999	FI FI AU	980348 A 981811 A 2426899 A	17-08-1999 17-08-1999 30-08-1999
US 5774785	Α	30-06-1998	AU AU BR CA WO	719567 B 3280197 A 9709832 A 2258230 A 9749198 A	11-05-2000 07-01-1998 10-08-1999 24-12-1997 24-12-1997
EP 0936753	Α	18-08-1999	FI JP	980343 A —11284570—A	 14-08-1999 15-10-1999
US 5924043	Α	13-07-1999	JP	10126337 A	 15-05-1998
WO 0045528	Α	03-08-2000	AU	2976400 A	18-08-2000





Europäisches Patentamt European Patent O Office européen des brevets

Zweigstelle in Den Haag Branch at The Hague Département à La Haye

PAGE WHITE & FARRER
Attn. Mr Juha-Pekka Ruuskanen
54 Doughty Street
LONDON WC1N 2LS
UNITED KINGDOM

RECEIVED

1 2 MAY 2000 Ans:a..... Aktenzeichen/File No./No. du Dossier

RS 103879 GB

Datum/Date

1 1. 05. 00

Das Europäische Patentamt übermittelt hiermit den Standardrecherchenbericht zu dem unten bezeichneten Antrag; Kopien der im Recherchenbericht angeführten Schriften werden in er Anlage beigefügt.

The European Patent Office herewith transmits the Standard Search Report relating to the request indicated below; copies of the documents cited in the search report are enclosed.

L'Office Européen des Brevets à l'honneur de vous transmettre ci-joint le Rapport de Recherche concernant la demande désignée ci-dessous; des copies des documents cités sont jointes.

Zeichen und Datum des Antrages Applicant's reference and date Références et date de la demande	92608/PRS/JPR/sir
Dokument, Gegenstand der Recherche Document subject of the search Objet de la recherche	GBA 9921989
Einreichungstag Filing date Date de dépôt	16/09/1999
Beanspruchte Priorität Priority claimed Priorité revendiquée	

OFFICE EUROPÉEN DES BREVETS Pour le Vice-Président,



## STANDARD SEARCH REPORT

File RS 103879

		DOCUMENTS CONSIDERED T	O BE RELEVANT	10	
	Category	Citation of document with indication, w of relevant passages	here appropriate,	Relevant to chaim	APOED!
,	Y	US 5 333 175 A (ARIYAVISIT ET AL) 26 July 1994 (1994-	AKUL SIRIKIAT 07-26)	1-5,13, 15,16, 24-29, 32-34	
	A	* abstract; figures 4,5 * * column 9, line 30 - colu	<b></b> -*_mn_10line_22	6-12,14	
	-	* column 13, line 42 - col * column 16, line 65 - col *	umn 14, line 53		
	Υ .	WO 99 41850 A (NOKIA TELEC; AALTO RISTO (FI); KOHONEN 19 August 1999 (1999-08-19	PEKKA (FI):)	15,16, 24-29, 32-34	\ \D \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
		* abstract; figures 1-3,7,8 * page 3, line 5 - line 19 * page 6, line 10 - line 20 * page 8, line 1 - line 14 * page 13, line 13 - page	* 6 * * ·	9-12	TECHNICAL FIELDS SEARCHED (Int.CL.7)
	A -	US 5 774 785 A (KARLSSON P7 30 June 1998 (1998-06-30)		1,7, 9-12,15, 16,24, 25, 27-29, 32-34	H04B
	ļ :	* column 2, line 23 - line * column 5, line 6 - line 1 * column 9, line 37 - colum figures 3,5,7 *	55 * .9; figure 2A * nn 10, line 19;		
			-/		
1		The present search report has been drawn u	p for all claims		
-		Da	te of completion of the search		Examiner
904.01		4	May 2000	Sieb	en, S
EPO FORM 1503 03.82 (P04C17)	X : particu Y : particu docum A : techno	EGORY OF CITED DOCUMENTS  Ilarly relevant if taken alone Ilarly relevant if combined with another ent of the same category logical background rritten disclosure	T: theory or principle LE: earlier patent docur after the filing date D: document cited in the L: document cited for a comment	ment, but publish he application other reasons	ned on, or



STANDARD SEARCH REPORT

RS 103879

		DOCUMENTS CONSIDERED TO BE RELEVANT		(E) (S)
	Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	
	A .	EP 0 936 753 A (NOKIA MOBILE PHONES LTD) 18 August 1999 (1999-08-18)	1,2,7, 9-12,15, 16,	
		* page 3, line 51 - page 4, line 5 *	24-29, 32-34	
		* page 11, line 55 - page 12, line 18 * * page 13, line 32 - line 36 * * page 14, line 37 - line 46; figures 4,5 *	5	
·	A	US 5 924 043 A (TAKANO MICHIAKI) 13 July 1999 (1999-07-13)	1,2,6, 9-13,16, 24, 27-29,	
		* column 18, line 9 - column 19, line 41; figures 10,25-29 *	32-34	
	į			TECHNICAL FIELDS SEARCHED (Int.CL.7)
-  -				
	!			
1		The present search report has been drawn up for all claims		
· -		Date of completion of the search	<del></del>	Examiner
94C1		4 May 2000	Sieb	en, S
EPO FORM 1503 03.82 (P04C17)	X : particu Y : particu docum A : techno	ularly relevant if taken alone after the filing dularly relevant if combined with another D: document cited logical background E: document cited	in the application for other reasons	ed on, or
EPO FO	O:non-v		same patent family, o	corresponding



RS 103879

This annex lists the patent family members relating to the patent documents cited in the above-mentioned search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

04-05-2000

	document search report	Publication date	Patent family member(s)	Publication date
US 533	3175 A	26-07-1994	NONE	
WO 994	1850 A	19-08-1999	FI 980348 A 	17-08-1999 17-08-1999 30-08-1999
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### **PCT**

#### REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

# PCT/EP 0 0 / 0 9 1 0 5

1 4 SEP 2000 International Filing Date 14 09 2000

EUROPEAN PATENT OFFICE
PCT INTERNATIONAL APPLICATION

Name of receiving Office and "PCT International Application"

	Applicant's or agent's file reference (if desired) (12 characters maximum)	102752/ IDD
Box No. I TITLE OF INVENTION	The decision materials	102753/JPR
POWER CONTROL IN A COMMUNIC	ATION SYSTEM	
Box No. II APPLICANT	•	
Name and address: (Family name followed by given name; for a designation. The address must include postal code and name of coi address indicated in this Box is the applicant's State (that is, country of residence is indicated below.)	legal entity, full official untry. The country of the y) of residence if no State	is person is also inventor.
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State (that is, country) of nationality:	State (that is, country) of residence:	[Finland]
This person is applicant all designed and all designed	d States except the United State	
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Box No. III FURTHER APPLICANT(S) AND/OR (FURT	HER) INVENTOR(S)	
Name and address: Family name followed by given name; for a designation. The address must include postal code and name of counderess indicated in this Box is the applicant's State (that is, country of residence is indicated below.)		son is:
LAAKSO, Janne Paraistentie 17 c 44	and and	olicant and inventor
FIN-00280 Helsinki		and inventor
Finland	inv	entor only (If this check-box
	15 n	narked, do not fill in below.)
State (that is, country) of nationality:	State (that is, country) of residence:	Finland
This person is applicant all designated or the purposes of:	d States except the United State of America only	
Further applicants and/or (further) inventors are indicated o		the Supplemental Box
Box No. IV AGENT OR COMMON REPRESENTATIVE;		NDENCE
The person identified below is hereby/has been appointed to act o of the applicant(s) before the competent International Authorities	n behalf	common representative
Name and address: (Family name followed by given name; for a designation. The address must include postal co	legal entity, full official de and name of country.)  Telephone N 020 783	
RUUSKANEN, Juha-Pekka	-	
PAGE WHITE & FARRER	Facsimile No	o.
54 Doughty Street	020 783	1-8040
London WC1N 2LS United Kingdom	Teleprinter 1	Vo.
	8955681	
Address for correspondence: Mark this check-box where n space above is used instead to indicate a special address to w	o agent or common representative is/h	as been appointed and the

)	Sheet No.	
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_Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)					
If none of the following sub-boxes is used, this sheet should not be included in the request.					
Name and address: (Eamily name followed by given name: for a designation. The address must include postal code and name of con address indicated in this Box is the applicant's State (that is, country of residence is indicated below.)  SAHINOJA, Jari Sepelkyyhkyntie 3 B 24 FIN-02660 Espoo Finland  State (that is, country) of nationality:  Finland	legal entity, full official and inverse in the country of the object of residence if no State  This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)  State (that is, country) of residence:  Finland				
for the purposes of: States the United Sta	ates of America only the Supplemental Box				
Namc and address: (Family name followed by given name; for a designation. The address must include postal code and name of could address indicated in this Box is the applicant's State (that is, country, of residence is indicated below.)  HOLMA, Harri Itatuulenkuja 1 B 32  FIN-02100 Espoo  Finland	This person is:   applicant only				
State (that is, country) of nationality: Finland	State (that is, country) of residence: Finland				
This person is applicant all designated for the purposes of:	States except the United States the States indicated in				
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This person is applicant all designated for the purposes of:	States except the United States the States indicated in the Supplemental Box				
Further applicants and/or (further) inventors are indicated on another continuation sheet.					



Sheet No. ...3...

В	ox N	0.V DESIGNATION OF STATES					
The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):							
R	egior	al Patent	(mu	k ine	applicable check-boxes; at least one must be marked):		
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_		Convention and of the PCT	, ап	u any	other State which is a Contracting State of the European Patent		
lx.	] OA	other State which is a member State of OAPI and a Contr	acti	no Sta	an Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, uritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any ate of the PCT (if other kind of protection or treatment desired,		
N	ation	al Patent (if other kind of protection or treatment desired, spe	cify	on do	otted line):		
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-2	-AG	Antigua and Barbuda			Saint Lucia		
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$\overline{\mathbf{z}}$		Democratic People's Republic of Korea	Ch	eck-b	ox reserved for designating States which have become		
<u> </u>		Republic of Korea	par	ty to	the PCT after issuance of this sheet:		
		Kazakhstan			***************************************		
Pre	Precautionary Designation Statement: In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other						
ues	gnati	ons which would be permitted under the PCT except any	des	ionati	on(s) indicated in the Supplemental Poy or bains and add		
1101	from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant						
at th	he exp	piration of that time limit. (Confirmation (including fees) must	ıs II'ı t rea	om th	e priority date is to be regarded as withdrawn by the applicant		

Form PCT/RO/101 (second sheet) (July 2000)



Supplemental Box

If the Supplemental Box is not used, this sheet should not be included in the request.

- 1. If, in any of the Boxes, the space is insufficient to furnish all the information: in such case, write "Continuation of Box No. ..." [indicate the number of the Box] and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient, in particular:
- (i) if more than two persons are involved as applicants and/or inventors and no "continuation sheet" is available: in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below;
- (ii) if, in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked in such case, write "Continuation of Box No. II" or "Continuation of Box No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;
- (iii) if, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Box No. II and No. III" (as the case may be), indicate the name of the inventor(s) and next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;
- (iv) if, in addition to the agent(s) indicated in Box No. IV, there are further agents: in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;
- (v) if, in Box No. V, the name of any State (or OAPI) is accompanied by the indication "patent of addition," or "certificate of addition," or if, in Box No. V, the name of the United States of America is accompanied by an indication "continuation" or "continuation-in-part": in such case, write "Continuation of Box No. V" and the name of each State involved (or OAPI), and after the name of each such State (or OAPI), the number of the parent title or parent application and the date of grant of the parent title orfiling
- (vi) if, in Box No. VI, there are more than three earlier applications whose priority is claimed in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI;
- (vii) if, in Box No. 17, the earlier application is an ARIPO application: in such case, write "Continuation of Box No. 17", specify the number of the item corresponding to that earlier application and indicate at least one country party to the Paris Convention for the Protection of Industrial Property or one Member of the World Trade Organization for which that earlier application was filed.
- 2. If, with regard to the precautionary designation statement contained in Box No. I', the applicant wishes to exclude any State(s) from the scope of that statement: in such case, write "Designation(s) excluded from precautionary designation statement" and indicate the name or two-letter code of each State so excluded.
- 3. If the applicant claims, in respect of any designated Office, the benefits of provisions of the national law concerningnon-prejudicial disclosures or exceptions to lack of novelty: in such case, write "Statement concerning non-prejudicial disclosures or exceptions to lack of novelty" and furnish that statement below.

Continuation of Box IV

Agents continues

PALMER, Roger (GB)
RICHARDS, David John (GB)
PENDLEBURY, Anthony (GB)
JENKINS, Peter David (GB)
DRIVER, Virginia Rozanne (GB)
DANIELS, Jeffery Nicholas (GB)
NEOBARD, William John (GB)
SHACKLETON, Nicola (GB)
SLINGSBY, Philip Roy (GB)
HILL, Christopher Michael (GB)
WILLIAMS, David John (GB)

All of:

PAGE WHITE & FARRER 54 Doughty Street London WC1N 2LS United Kingdom Sheet No. ...5...

Firther priority claims are indicated in the Supplemental Box.  Firthing date of earlier application of earlier application (adynhronith/seur)  Item (1)	Box No. VI PRIORITY C	LAIM	Further price	ority claims are indicated	in the Supplement I D	
item (1)    The receiving Office is requested to prepare and transmit to the International Bureau a certified copy purposes of the present international application was filed with the Office which for the purposes of the present international application was filed with the Office which for the purposes of the present international application was filed with the Office which for the purposes of the present international application was filed with the Office which for the purposes of the present international application was filed with the Office which for the purposes of the present international application was filed with the Office which for the purposes of the present international application was filed with the Office which for the purposes of the present international application was filed with the Office which for the purpose of the present international application was filed with a control of the other of the	1		l			
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For receiving Office use only  1. Date of actual receipt of the purported international application:  2. Drawings:  3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:  4. Date of timely receipt of the required corrections under PCT Article 11(2):  5. International Searching Authority ISA /  For International Bureau use only  Date of receipt of the record copy	Next to each signature, indicate the name	e of the person signing and	the capacity in which the person signs	s (if such capacity is not obvio	us from reading the request).	
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1. Date of actual receipt of the purported international application:  2. Drawings:  3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:  4. Date of timely receipt of the required corrections under PCT Article 11(2):  5. International Searching Authority ISA /   6. Transmittal of search copy delayed until search fee is paid.  For International Bureau use only  Date of receipt of the record copy	RUUSKANEN, Juha-Pekk	a fee Ce	(A	gent)		
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# **PCT**

#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

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Applicant's or agent's file reference  See Notification of Transmittal of FOR FURTHER ACTION  Preliminary Examination Report of							
102753	102753/JPR ·			HON	Preliminary	Examination Report (Form P	CT/IPEA/416)
Internation	nal applicat	ion No.	International filing date (da	ay/month/y	rear)	Priority date (day/month/yea	ar)
PCT/EP	200/0910	5	14/09/2000			16/09/1999	
Internation	International Patent Classification (IPC) or national classification and IPC						
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Applicant							
NOKIA I	NETWO	RKS OY et al.					
1. This	internatio	nal preliminant evami	nation report has been n	repared l	ny thie Inter	national Preliminary Exar	mining Authority
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*	VIII   Certain observations on the international application						
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10/04/20	10/04/2001						
Name and mailing address of the international Authorized officer				officer			
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# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP00/09105

1.	Bas	is c	of t	he	rei	port

1.	the and	Nith regard to the <b>elements</b> of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)):  Description, pages:						
	1-2	23	as originally filed					
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3.		n regard to any <b>nuc</b>		quence disclosed in the international application, the on the basis of the sequence listing:				
		contained in the in	ternational application in writter	n form.				
		filed together with	the international application in	computer readable form.				
		furnished subsequ	ently to this Authority in written	form.				
		furnished subsequ	ently to this Authority in compu	ter readable form.				
			t the subsequently furnished wi oplication as filed has been furr	itten sequence listing does not go beyond the disclosure in ished.				
		The statement that listing has been ful		mputer readable form is identical to the written sequence				

4. The amendments have resulted in the cancellation of:



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		the description,	pages:		
		the claims,	Nos.:		
		the drawings,	sheets:		•
5.					some of) the amendments had not been made, since they have beer as filed (Rule 70.2(c)):
		(Any replacement sho report.)	eet conta	ining such	amendments must be referred to under item 1 and annexed to this
	المام ٨	ikianal ahaamakiana if			
V. I	Reas		der Articl	e 35(2) w	ith regard to novelty, inventive step or industrial applicability;
V. I	Rea: citat		der Articl	e 35(2) w	
<b>V. I</b>	Reas citat	soned statement und	der Articl	e 35(2) w orting suc	
<b>V. I</b> 1. §	Reas citat State	soned statement und tions and explanation	der Articl ns suppo Yes:	e 35(2) worting suc	3,6-27,29-32,34-36

see separate sheet

1. Certain published documents (Rule 70.10)

Certain documents cited

and / or

Vi.

2. Non-written disclosures (Rule 70.9)

see separate sheet

#### VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

#### **EXAMINATION REPORT - SEPARATE SHEET**

#### Re Item V

2.1

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability: citations and explanations supporting such statement

- 1. Reference is made to the following documents:
  - D1: US-A-5 333 175 (ARIYAVISITAKUL SIRIKIAT ET AL) 26 July 1994 (1994-07-26)
  - D2: WO 99 41850 A (NOKIA TELECOMMUNICATIONS OY ;AALTO RISTO (FI); KOHONEN PEKKA (FI);) 19 August 1999 (1999-08-19)
- Novelty (Article 33(2) PCT) 2.
- Document D1, especially the abstract, Figures 4 and 5 and column 16, line 65 to column 16, line 20, discloses a method in a communication system, wherein a controller provides a first station with a target (adjustable RSSI threshold RSSI, based on word error indicator WEI) for a transmission parameter (RSSI) of the radio connection and the first station adjusts the transmission power of a second station on basis of the target, comprising: monitoring for a predefined condition (RSSI is greater than the predetermined maximum RSSI value); upon occurence of the predefined condition (see Figure 4, step 408), preventing use of a target (RSSI<sub>th</sub>) for the transmission parameter exceeding a limit value (maximum RSSI value) for the transmission parameter (RSSI). As can be seen in D1, Figure 4, if RSSI > RSSI<sub>max</sub>, the target (RSSI<sub>th</sub>) is not used, because PC<sub>m</sub> is set to 0 in step 410 and then the power control bit PC is also set to 0 at the output of gate 409 irrespective of the result of the comparison step 404 RSSI <

The term "controller" is very broad and can be any controlling means (hardware and software). Therefore, document D1 discloses all the steps of claim 1 whose subject-matter is consequently not novel.

2.2 The same applies to claim 28 which is the corresponding system claim and claim 33 (the second claim 32 which has to be renumbered) referring to a station which comprises the corresponding features.

RSSI<sub>th</sub>. Hence, the use of the target (RSSI<sub>th</sub>) is prevented.

#### INTERNATIONAL PRELIMINARY International application No. PCT/EP00/09105 **EXAMINATION REPORT - SEPARATE SHEET**



2.3 Dependent claims 2 to 27, 29 to 32 and 34 to 36 do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of novelty and/or inventive step. In particular, specifying the controller as a radio network controller (cf. claims 27 and 32) controlling power in an outer loop does not involve an inventive step, since such a radio network controller is generally known in radio communication systems, see e.g. document D2.

#### Re Item Vi

Certain documents cited

#### Certain published documents (Rule 70.10)

Application No Patent No	Publication date (day/month/year)	Filing date (day/month/year)	Priority date (valid claim) (day/month/year)
PCT/US00/02291 WO00/45528	03.08.2000	28.01.2000	28.01.1999

#### Re Item VII

Certain defects in the international application

- 1. The features of the claims are not provided with reference signs placed in parentheses (Rule 6.2(b) PCT).
- 2. Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the document D1 is not mentioned in the description, nor is this document identified therein.

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- 31. A communication system according to claim 30, wherein the recovery means are arranged to reduce the difference gradually.
- 32. A communication system according to any of claims 28 to 31, wherein the controller comprises a radio network controller of a cellular communication system, the first station comprises a base station of the cellular communication system and the second station comprises a mobile station, and wherein the transmission power to be adjusted comprises transmission power from at least one mobile station towards at least one base station.
- 33. A station of a communication system, said station controlling transmission power of a further station transmitting towards the station, wherein the station is arranged to:

receive a target for a transmission parameter provided by a controller of the communications system for use in the control of transmission power of the further station;

monitor for a predefined condition; and
upon occurrence of the predefined condition, to prevent
use of targets for the transmission parameter exceeding a
limit value for the target for the transmission parameter.

34. A station according to claim 33, further comprising a first target functionality for receiving the target for the transmission parameter provided by the controller and a further target functionality for generating a further target for the transmission parameter, wherein the arrangement is such that the further target is used for the power control of the further station and corresponds the target received from the controller unless the predefined condition is detected

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whereafter the further target is set by the further target functionality such that the limit value for the target is not exceeded.

- 5 35. A station according to claim 34, further comprising detecting means for detecting a difference between the target and the further target and recovery means for reducing the difference after the predefined condition is over.
- 10 36. A station according to claim 35, wherein the recovery means are arranged to reduce the difference gradually.

- 31. A communication system according to claim 30, wherein the recovery means are arranged to reduce the difference gradually.
- 32. A communication system according to any of claims 28 to 31, wherein the controller comprises a radio network controller of a cellular communication system, the first station comprises a base station of the cellular communication system and the second station comprises a 10 mobile station, and wherein the transmission power to be adjusted comprises transmission power from at least one mobile station towards at least one base station.
- A station of a communication system, said station 15 controlling transmission power of a further station transmitting towards the station, wherein the station is arranged to:

receive a target for a transmission parameter provided by a controller of the communications system for use in the control of transmission power of the further station;

monitor for a predefined condition; and

upon occurrence of the predefined condition, to prevent use of targets for the transmission parameter exceeding a limit value for the target for the transmission parameter.

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A station according to claim 32, further comprising a first target functionality for receiving the target for the transmission parameter provided by the controller and a further target functionality for generating a further target for the transmission parameter, wherein the arrangement is such that the further target is used for the power control of the further station and corresponds the target received from the controller unless the predefined condition is detected

whereafter the further target is set by the further target functionality such that the limit value for the target is not exceeded.

- 5 34. A station according to claim 33, further comprising detecting means for detecting a difference between the target and the further target and recovery means for reducing the difference after the predefined condition is over.
- 10 35. A station according to claim 34, wherein the recovery means are arranged to reduce the difference gradually.